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Docket No: NFCS-01-027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: N/A

Examiner: N/A

Serial No.: (Not yet assigned)

Filed: August 28, 2001

In re Application of: Zhang, et al.

For: **METHOD AND APPARATUS FOR PERCISION TUNING AN OPTICAL
FILTER USING A BALL-END JOINT**

Certificate of Express Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mailing Label No. ET010922450US, in an envelope addressed to Director of Patents, Box New Application, Washington, D.C. 20231 on August 28, 2001, Signed Betty J. Pulido

TRANSMITTAL LETTER

Director of Patents
Box Patent Application
Washington, D.C. 20231

Sir:

Enclosed for filing please find a patent application for an invention entitled, "**METHOD AND APPARATUS FOR PERCISION TUNING AN OPTICAL FILTER USING A BALL-END JOINT**", filed on behalf of New Foucs, Inc., a Large Entity, and Assignee from Inventors Kevin Zhang and Ping Xie, including 16 pages of specification, 5 pages of claims, 9 sheets of drawings figures, and 1 page of Abstract. Also enclosed is a Request for Non-publication Pursuant to 37 C.F.R. § 1.213, an Assignment, Recordation Form Cover Sheet, and a Declaration and Power of Attorney.

The Attorney's Docket Number is **NFCS-01-027**.

This application is a continuation-in-part of co-pending application Serial No. 09/617,736, filed July 17, 2000, and 09/713,634, filed November 14, 2000. This application also claims the benefit of US Provisional Application Serial Number 60/228,908, filed August 28, 2000.

Kindly address all communications regarding this application to:

Timothy A. Brisson
Sierra Patent Group, Ltd.
P.O. Box 6149
Stateline, NV 89449
Telephone (775) 586-9500

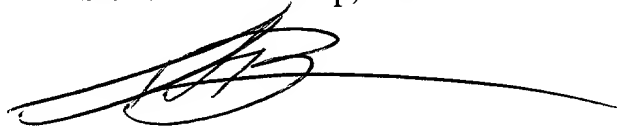
A check in the amount of \$ 750.00 is enclosed for the filing fee for assignee as a large entity, calculated as follows:

| | |
|---------------------------|-----------------|
| Basic Filing Fee: | \$ 710.00 |
| Recordation of Assignment | \$ <u>40.00</u> |
| TOTAL | \$ 750.00 |

In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 05-0612. A duplicate of this page is enclosed.

Respectfully submitted,
Sierra Patent Group, Ltd.

Dated: August 28, 2001



Timothy A. Brisson
Reg. No. 44,046

Sierra Patent Group, Ltd.
P.O. Box 6149
Stateline, NV 89449
(775) 586-9500